



**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Cox et al.

Serial No.: 08/612,061

Examiner: unknown

Filing Date: March 7, 1996

Group Art Unit: unknown

For: PERFUSION BALLOON ANGIOPLASTY CATHETER

Docket No.: 33019/138/103

**TRANSMITTAL SHEET**

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this 24th day of May, 1996

By   
Glenn M. Seager

We are transmitting herewith the attached:

[ ] Amendment

[ ] No additional fee required

[ ] The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		x11=	\$	x22=	\$
INDEPENDENT CLAIMS	-	=		x39=	\$	x78=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+125=	\$	+250	\$
TOTAL				\$		\$	

[XXXX] A check in the amount of \$ 130.00 is enclosed.

[ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.

[XXXX] Other: Response to Notice to File Missing Parts of Application-Filing Date Granted, Executed Combined Declaration and Power of Attorney and PTO Copy of Notice to File Missing Parts of Application-Filing Date Granted.

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 14-0620.

By:   
Glenn M. Seager

Reg. No. 36,926

Glenn M. Seager  
NAWROCKI, ROONEY & SIVERTSON, P.A.  
Suite 401, Broadway Place East  
3433 Broadway Street N.E.  
Minneapolis, Minnesota 55413  
Telephone: (612) 331-1464  
Facsimile: (612) 331-2239



4130.00 - 105-0300

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Applicant: Cox et al.

Serial No: 08/612,061

Filed : March 7, 1996

For : PERFUSION BALLOON  
ANGIOPLASTY CATHETER

Docket No: 33019/138/103

ATTN:  
APPLICATION DIVISION

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED  
UNDER 37 CFR 1.53(d) AND 37 CFR 1.16(e)

Commissioner of Patents and Trademarks  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted dated April 24, 1996, Applicant has enclosed herewith the following documents to complete the above-identified patent application filed March 7, 1996:

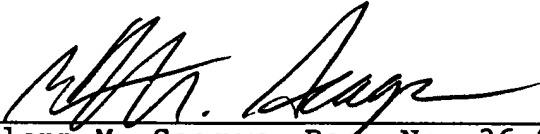
1. Our check in the amount of \$130 for the surcharge fee and Assignment recording fee of \$40.00.
2. Combined Declaration and Power of Attorney in Original Application executed by the inventors, James E. Cox, Richard G. Cornelius, Tracee E.J. Eidenschink, Gregory A. Boldenow, and Brooke Q. Ren.
3. Executed Assignment of the invention to SciMed Life Systems, Inc.
4. Notice to File Missing Parts of Application-Filing Date Granted.

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2061  
1 105 130.00 CK

The Commissioner is hereby authorized to charge payment of any additional fees associated with this application or credit any overpayment to Deposit Account No. 14-0620.

Respectfully submitted,

JAMES E. COX ET AL.

By:   
Glenn M. Seager, Reg. No. 36,926  
NAWROCKI, ROONEY & SIVERTSON, P.A.  
Suite 401, Broadway Place East  
3433 Broadway Street Northeast  
Minneapolis, MN 55413-3009  
Tel: (612) 331-1464

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Comissioner of Patents and Trademarks  
Washington D.C. 20231  
on this 26th day of May, 1996  
NAWROCKI, ROONEY & SIVERTSON P.A.

By: 

**NAWROCKI, ROONEY & SIVERTSON, P.A.**

BROADWAY PLACE EAST, SUITE 401  
3433 BROADWAY ST. N.E. PH. 612-331-1464  
MINNEAPOLIS, MN 55413

NORWEST B.A. MINNESOTA, N.A.  
PHALEN PARK OFFICE  
ST. PAUL, MN 55108  
17-1-910

11015

DATE

CHECK

AMOUNT

05/24/96

\*\*\*\*\$130.00

PAY

TO THE  
ORDER  
OF:

\*\*\* ONE HUNDRED THIRTY & 00/100 DOLLARS

Commissioner of Patents and Trademarks

TWO SIGNATURES REQUIRED IF OVER \$10,000.00

*Lorita M. Bosley*

MP

⑈011015⑈ ⑈091000019⑈ 3970258006⑈

This will acknowledge receipt of a Response to Notice to File Missing Parts of Application-Filing Date Granted, Executed Combined Declaration and Power of Attorney, PTO Copy of Notice to File Missing Parts of Application-Filing Date Granted and a check in the amount of \$130, with regard to the following:

Applicant: Cox et al.

Serial No.: 08/612,061

Filing Date: March 7, 1996

Docket No.: 33019/138/103

For: PERFUSION BALLOON ANGIOPLASTY CATHETER

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

GMS/lad

May 24, 1996



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
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08/612,061 03/07/96 COX

J 33019/138/10

0262/0424

GLENN M SEAGER  
NAWROCKI ROONEY & SIVERTSON  
SUITE 401 BROADWAY PLACE EAST  
3433 BROADWAY STREET NORTHEAST  
MINNEAPOLIS MN 55413

DATE MAILED: 0000

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

04/24/96

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 150 for large entities or \$ 150 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 150.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☐ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☐ large entity ☐ small entity, must submit \$ \_\_\_\_\_ to complete the basic filing fee.

2. ☐ Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

3. ☐ The oath or declaration:  
☐ cover items omitted at time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. ☒ The signature(s) to the oath or declaration is/are: ☒ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:

\_\_\_\_\_ An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ \_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.

8. ☐ A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).

9. ☐ Your filing receipt was mailed in error because your check was returned without payment.

10. ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.

11. ☐ Other.

Direct the response and any questions about this notice to, Attention: Application Processing Division, Special Processing and Correspondence Branch (703) 308-1202.

**A copy of this notice MUST be returned with the response.**